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PTO/SB-21 (08-00)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/070,280
Filing Date	July 29, 2002
First Named Inventor	Manku, Tajinder, et. al.
Group Art Unit	2681
Examiner Name	Unassigned
Attorney Docket Number	085909-000000US

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment / Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s)

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
USPTO form SB08A and SB08B (two pages), copies of 10 cited references, copies of International Search Report and Written Opinion for PCT/CA 01/00876, Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Kenneth R. Allen		Reg. No. 27,301
Signature	<i>Kenneth R. Allen</i>		
Date	12/3/2002		

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:

DEC 3, 2002

Typed or printed name	Roger Hylton	
Signature	<i>[Signature]</i>	Date 12/3/02

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PA 3268004 v1



PATENT  
Attorney Docket No.: 085909-000000US  
Client Reference No.: 08887955US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

TAJINDER MANKU et al.

Application No.: 10/070,280

Filed: July 29, 2002

For: IMPROVED METHOD AND  
APPARATUS FOR UP-AND-DOWN-  
CONVERSION OF RADIO  
FREQUENCY (RF) SIGNALS

Examiner: Unassigned

Art Unit: 2681

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. The enclosed references were cited during the prosecution of PCT application PCT/CA 01/00876, an application similar to the present application. Copies of the International Search Report and Written Opinion for PCT/CA 01/00876 are also enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

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However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Kenneth R. Allen  
Reg. No. 27,301

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: 650-326-2400  
Fax: 650-326-2422  
KRA:rmh  
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